

Office of Research Compliance and Assurance

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Research compliance is:

- Understanding what compliance means and sharing this with others in your department
- Being “aware” of the rules and regulations
- Showing and telling others how to “do the right thing”

How do you fit in?

- Be aware of the concepts and components of Responsible Conduct of Research

Conduct of Research

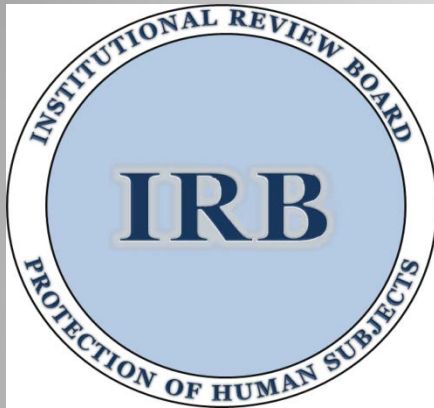
Ensure validity of results/
Maximize return on public
investment

Protections/Safeguards

Provide safety/welfare of
subjects & environment



Research Compliance Programs



Human Subjects



Animal Care and Use



Biosafety



Conflict of Interest



Export Controls



Responsible Conduct of Research

Responsible Conduct of Research: What is It?

- Individual character and behavior that impact research
- **All students & postdocs** conducting research at USA is *required* to certifiably complete RCR training.
- **Federally funded faculty** are also *required* to complete RCR training / monitoring.
- Areas defined by funding agencies for RCR
 - Data acquisition and management, sharing, and ownership
 - Conflict of interest and commitment
 - Human subjects
 - Animal welfare
 - Research misconduct
 - Publication practices and responsible authorship
 - Mentor / trainee relationships
 - Peer review
 - Collaborative science

RCR – Why?

- Promote awareness and best practices in research
- Obligation to maintain public's trust
 - Ensure proper stewardship of research funds
 - Protect human and animal subjects
 - Assure compliance with federal/state regulations

Current Climate

- TRANSPARENCY

Circle of Compliance



Ensures the ethical review of research

Compliance Program Support

- Assist with protocol submissions
- Guidance on interpretation of regulations
- Shepherd protocols through the review and approval process
- Provides information to departments about processes
- Education
- Assist with reports of concern

IRBNet

- Online portal for ALL submissions to the **IRB**, **IACUC** and **IBC**
- User Profile-Required for:
 - Investigators
 - Faculty Advisors
 - Key Personnel

We're streamlining processes



Research Compliance

Who is Who?

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